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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/521,235	03/08/2000	KISHORE S SWAMINATHAN	AND1P535	1907

28164 7590 08/13/2003

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CHICAGO, IL 60610

EXAMINER

NGUYEN, MAIKHANH

ART UNIT	PAPER NUMBER
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2176

DATE MAILED: 08/13/2003

10

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/521,235

Applicant(s)

SWAMINATHAN ET AL.

Examiner

Maikhanh Nguyen

Art Unit

2176

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 08 March 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-18 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-18 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 7 & 9.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

Art Unit: 2176

DETAILED ACTION

1. This action is responsive to communication: original application filed 03/08/2000; IDS filed 12/19/2000 and 11/29/2002.
2. Claims 1-18 are currently pending in this application. Claims 1, 7 and 13 are independent claims.

Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

Claims 1-18 are rejected under 35 U.S.C. 102(a) as being anticipated by **Kitain et al.** (U.S. 5,864,871 – filed 01/1997).

As to independent claim 1, Kitain teaches a method for developing a dossier, comprising the steps of:

- receiving a name of a client relevant to a proposal (*the user is required to provide ...the repository server will determine what information that user is authorized to receive; col.5, lines 63-67 / the web server can provide customized views ... to users; col.6, lines 43-61*);

Art Unit: 2176

- presenting a list of industries associated with the client (*lists the industries that the user may choose ...industry groups 210; col.39, line40 – col.40, line 19 & Fig.3*);
- allowing selection of an industry from the list of industries (*it lists the industries that the user may choose as selection criteria for documents; col.39, lines 1-67 & Fig.3*);
- searching for information relating to the client and the selected industry (*a list of the documents that fits certain user-specified search criteria ... match that search criteria; col.10, lines 46-54 & Fig.3-4*); and
- preparing the dossier utilizing the information found during the searching (*a list of the headlines of reports and items of corporate information ...reports that satisfy a user's query; col.46, lines 48-67 / query results listing research reports ...satisfying the query; col.47, lines 16-52 & Fig.7*).

As to dependent claim 2, Kitain teaches the step of presenting an alternate list of industries having industries different from the industries on the list of industries associated with the client (*industry groups 210; col.40, lines 14-19 & Fig.3*).

As to dependent claim 3, Kitain teaches the steps of displaying topics associated with the client and allowing selection of at least one of the topics for insertion of information relating to the selected at least one of the topics in the dossier (*Fig.7*).

As to dependent claim 4, Kitain teaches the dossier is arranged in sections according to people, documents, and projects (*Fig.7*).

As to dependent claim 5, Kitain teaches the people section is arranged according to the number of documents and projects an individual has produced related to the client or industry (*Fig.7*).

Art Unit: 2176

As to **dependent claim 6**, Kitain teaches the documents and projects sections are arranged chronologically (*Fig. 7*).

Independent claim 7 is directed to a computer program for implementing the method of claim 1, and is similarly rejected under the same rationale.

Dependent claims 8-12 include the same limitations as in claims 2-6, and are similarly rejected under the same rationale.

Independent claim 13 is directed to a system for performing the method of claim 1, and is similarly rejected under the same rationale.

Dependent claims 14-18 include the same limitations as in claims 2-6, and are similarly rejected under the same rationale.

Conclusion

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Dempski et al.	U.S Patent No. 6,564,209	issue dated: May 13, 2003
Youn	U.S Patent No. 2001/0056418	issue dated: Dec. 27, 2001
Karaev et al.	U.S Patent No. 5,802,518	issue dated: Sep. 1, 1998
Bezos et al.	U.S Patent No. 6,029,141	issue dated: Feb. 12, 2000

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Maikhanh Nguyen whose telephone number is (703) 306-0092. The examiner can normally be reached on Monday - Friday from 9:00am – 5:30 pm.

Art Unit: 2176

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph H. Feild can be reached on (703) 305-9792. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-5403 for regular communications and (703) 308-5403 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-9600.

Contact Information:

Any response to this action should be mailed to:

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Or fax to:

AFTER-FINAL faxes must be signed and sent to (703) 746-7238.

OFFICIAL faxes must be signed and sent to (703) 746-7239.

NON OFFICIAL faxes should be sent to (703) 746-7240.

All OFFICIAL faxes will be handled and entered by the docketing personnel. The date of entry will correspond to the actual FAX reception date unless that date is a Saturday, Sunday, or a Federal Holiday within the District of Columbia, in which case the official date of receipt will be the next business day. The application file will be promptly forwarded to the Examiner unless the application file must be sent to another area of the Office, e.g., Finance Division for fee charging, etc.

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington, VA., Sixth Floor (Receptionist). All hand-delivered responses

Art Unit: 2176

will be handled and entered by the docketing personnel. Please do not hand deliver responses directly to the Examiner.

Maikhanh Nguyen
August 5, 2003

A handwritten signature in black ink, appearing to read 'Sanjiv Shah', written over a horizontal line.

**SANJIV SHAH
PRIMARY EXAMINER**